An interpretation of system F through bar recursion

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Realizability interpretations of PA2

- Second-order arithmetic (PA2):
 - Quantification on \mathbb{N} : $\forall n$
 - Quantification on $\mathcal{P}(\mathbb{N})$: $\forall X$
 - ▶ Induction: $\forall X (X (0) \Rightarrow \forall n (X (n) \Rightarrow X (n+1)) \Rightarrow \forall n X (n))$
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 - in system T + bar recursion (simply-typed)
 - Spector, Kohlenbach, Berger-Oliva, Berardi-Bezem-Coquand
 - brec $\Vdash \forall n \exists b (A[n] \Leftrightarrow b) \Rightarrow \exists X \forall n (A[n] \Leftrightarrow X(n))$
 - $\blacktriangleright \Vdash \forall n \exists b (A[n] \Leftrightarrow b)$

Definition (Weak head reduction)

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- Reducibility candidates (sets of λ-terms with some properties)
- ► Not formalizable in *PA*2 (Gödel's incompleteness)
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- ▶ Indeed, f provably total in PA2 iff f representable in F

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The translation of M : T is the bar recursive realizability interpretation of its normalization proof

Outline

A logic for λ -terms (bye bye Gödelitis)

A simply-typed total programming language with bar recusion

A realizability model for our logic

The realizability interpretation of normalization of M: T

The translation of M : T

A logic for λ -terms (bye bye Gödelitis)

Terms

Multi-sorted first-order logic

- Natural numbers: m
- λ -terms (de Bruijn indices): M
- Applicative contexts (stacks of terms): Π
- Sets of λ-terms: X
- Booleans: Φ

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$$m ::= i \mid 0 \mid S m \qquad M ::= t \mid \underline{m} \mid \lambda.M \mid M \prod \mid M [m \mapsto \Pi]$$

$$\Pi ::= \pi \mid \langle \rangle \mid \langle \Pi, M \rangle \qquad X \qquad \Phi ::= b \mid tt \mid ff \mid M \in X$$

i, t, π , X and b range over countable sets of sorted variables

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The induction hypothesis of the normalization theorem is:

$$T_{n-1},\ldots,T_0\vdash M:U$$

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 $M\left[m\mapsto \langle M_0,\ldots,M_{n-1}
angle
ight]$ replaces variables:

 $\underline{0}, \ldots, \underline{m-1}, \underline{m}, \ldots, \underline{m+n-1}, \underline{m+n}, \ldots$ with terms:

$$\underline{0}, \ldots, \underline{m-1}, M_0, \ldots, M_{n-1}, \underline{m}, \ldots$$

. .

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- Φ means " $\Phi = tt$ "
- *M*↓^{*m*} means that weak head reduction terminates on *M* in at most *m* steps
- (1) are relativization predicates: their unique realizer is their value (1 will come back to this)
- no (X) or (Φ) : sets and booleans never need to be relativized

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- Relativized quantifications defined as: ∀^r i A ≜ ∀i (((i)) ⇒ A) and ∃^r i A ≜ ¬∀^r i ¬A, same for t, π
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- ► Relativized quantifications defined as: $\forall^r i A \triangleq \forall i ((i) \Rightarrow A)$ and $\exists^r i A \triangleq \neg \forall^r i \neg A$, same for t, π
- A realizer of $\forall^r i A$ can depend on *i*, a realizer of $\forall i A$ cannot
- ▶ Normalization defined as: $M \downarrow \stackrel{\Delta}{=} \exists^r i M \downarrow^i$

If A(t) is a formula with free variable t, define:

$$\mathcal{R}ed\mathcal{C}and (A) \stackrel{\Delta}{=} (\forall^{r} \pi A (\underline{0} \pi) \land \forall^{r} t (A(t) \Rightarrow t \downarrow)) \land \forall^{r} t \forall^{r} u \forall^{r} \pi (A (t [0 \mapsto \langle u \rangle] \pi) \Rightarrow A ((\lambda . t) \langle u \rangle \pi))$$

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If T type of system F built from variables X of the logic, define $RC_T(t)$ with free variables \vec{X} and t:

$$RC_{X}(t) \stackrel{\Delta}{=} t \in X \qquad RC_{T \to U}(t) \stackrel{\Delta}{=} \forall^{r} u (RC_{T}(u) \Rightarrow RC_{U}(t u))$$
$$RC_{\forall X T}(t) \stackrel{\Delta}{=} \forall X (\mathcal{R}edCand(\overline{X}) \Rightarrow RC_{T}(t))$$

where $\overline{X}(t) \stackrel{\Delta}{=} t \in X$. $RC_{T}(t)$ is what we wrote $t \in [T]$ earlier

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- If T type of F with $FV(T) = \{X_0, \ldots, X_{n-1}\}$ then:

$$\forall X_0(\mathcal{R}ed\mathcal{C}and\ (\overline{X_0}) \Rightarrow \ldots \Rightarrow \forall X_{n-1}(\mathcal{R}ed\mathcal{C}and\ (\overline{X_{n-1}}) \\ \Rightarrow \mathcal{R}ed\mathcal{C}and\ (\mathcal{R}C_T))\ldots)$$

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▶ If $FV(T_0, \ldots, T_{m-1}, U) \subseteq \{X_0, \ldots, X_{n-1}\}$ and $T_{m-1}, \ldots, T_0 \vdash M : U$ typing derivation in F then:

$$\forall X_0(\mathcal{R}ed\mathcal{C}and\ (\overline{X_0}) \Rightarrow \ldots \Rightarrow \forall X_{n-1}(\mathcal{R}ed\mathcal{C}and\ (\overline{X_{n-1}})) \\ \Rightarrow \forall^r t_{m-1}(\mathcal{R}C_{\mathcal{T}_{m-1}}\ (t_{m-1}) \Rightarrow \ldots \Rightarrow \forall^r t_0(\mathcal{R}C_{\mathcal{T}_0}\ (t_0)) \\ \Rightarrow \mathcal{R}C_U\ (M\ [0 \mapsto \langle t_0, \ldots, t_{m-1}\rangle]))\ldots)) \ldots)$$

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Then it is straightforward to compute this normal form with primitive recursion

A simply-typed total programming language with bar recusion

Simply-typed λ -calculus with products

Simple types:

$$\sigma, \tau ::= \kappa \mid \top \mid \sigma \to \tau \mid \sigma \times \tau$$

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Typing rules:

$$\begin{array}{c|c} \hline \Gamma, x : \sigma \vdash x : \sigma & \hline \Gamma \vdash c : \sigma \\ \hline \Gamma, x : \sigma \vdash M : \tau & \hline \Gamma \vdash N : \sigma \\ \hline \Gamma \vdash \lambda x.M : \sigma \rightarrow \tau & \hline \Gamma \vdash M : \tau \\ \hline \hline \Gamma \vdash M : \sigma & \hline \Gamma \vdash N : \tau \\ \hline \hline \Gamma \vdash \langle M, N \rangle : \sigma \times \tau & \hline \hline \Gamma \vdash w : \tau \\ \hline \hline \hline \Gamma \vdash M : \sigma \times \tau & \hline \hline \Gamma \vdash M : \sigma \times \tau \\ \hline \hline \Gamma \vdash p_1 M : \sigma & \hline \Gamma \vdash p_2 M : \tau \\ \end{array}$$

where Cst is a set of typed constants

System ΛT

- 3 base types:
 - ι type of natural numbers
 - λ type of λ -terms
 - λ^\diamond type of finite lists of $\lambda\text{-terms}$

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• for ι : $\mathbf{z}: \iota$ $\mathbf{s}: \iota \to \iota$ $\mathrm{it}_{\iota}: \sigma \to (\sigma \to \sigma) \to \iota \to \sigma$ • for λ : var : $\iota \to \lambda$ abs : $\lambda \to \lambda$ app : $\lambda \to \lambda \to \lambda$ $it_{\lambda}: (\iota \to \sigma) \to (\sigma \to \sigma) \to (\sigma \to \sigma \to \sigma) \to \lambda \to \sigma$ • for λ^\diamond : nil: λ^\diamond cons: $\lambda^\diamond \to \lambda \to \lambda^\diamond$ $it_{\lambda^{\diamond}}: \sigma \to (\sigma \to \lambda \to \sigma) \to \lambda^{\diamond} \to \sigma$ Easy to define: ▶ app $^{\diamond}$ s.t.: $\operatorname{app}^{\diamond} \operatorname{M} \langle \operatorname{N}_{0} \ldots \operatorname{N}_{n-1} \rangle \rightsquigarrow^{*} \operatorname{app} (\ldots (\operatorname{app} \operatorname{MP}_{0}) \ldots) \operatorname{P}_{n-1}$ where $\langle N_0 \dots N_{n-1} \rangle \stackrel{\Delta}{=} \cos(\dots(\cosh nil N_0) \dots) N_{n-1}$ and $N_i \rightsquigarrow^* P_i$ • $M[N \mapsto P]$ for $M : \lambda, N : \iota, P : \lambda$ implementing substitution ▶ eq s.t. eq MN \rightsquigarrow^* z iff M \rightsquigarrow^* P and N \rightsquigarrow^* P for some P

• Type of observable partial functions on λ :

$$\sigma^{\dagger} \stackrel{\Delta}{=} \lambda \to \iota \times \sigma$$

▶ $p_1(MN) \rightsquigarrow^* z$ iff $M : \sigma^{\dagger}$ defined in $N : \lambda$ with value $p_2(MN)$

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- Empty partial function $\{\} : \sigma^{\dagger} \text{ s.t. } \{\} \mathbb{M} \leadsto^{*} \langle \mathtt{s} \mathtt{z}, \mathtt{can}_{\sigma} \rangle$
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Empty partial function {} : σ[†] s.t. {} M →* ⟨s z, can_σ⟩
can_σ : σ is an inductively defined canonical term
M | N completes M : σ[†] with N : λ → σ, i.e.:

$$M \mid \mathbb{N} \rightsquigarrow^{*} \begin{cases} p_{2}(\mathbb{M}P) & \text{if } p_{1}(\mathbb{M}P) \rightsquigarrow^{*} z \\ \mathbb{N}P & \text{otherwise} \end{cases}$$

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$$M \mid N \rightsquigarrow^{*} \begin{cases} p_{2} (MP) & \text{if } p_{1} (MP) \rightsquigarrow^{*} z \\ NP & \text{otherwise} \end{cases}$$

• $\mathbb{M} \cup \{\mathbb{N} \mapsto \mathbb{P}\}\$ extends $\mathbb{M} : \sigma^{\dagger}$ with $\mathbb{P} : \sigma$ at $\mathbb{N} : \lambda$, i.e.:

$$(\mathbb{M} \cup \{\mathbb{N} \mapsto \mathbb{P}\}) \mathbb{Q} \rightsquigarrow^* \begin{cases} \langle \mathbf{z}, \mathbb{P} \rangle & \text{if eq } \mathbb{N} \mathbb{Q} \rightsquigarrow^* \mathbf{z} \\ \mathbb{M} \mathbb{Q} & \text{otherwise} \end{cases}$$

New constant:

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 $\texttt{brec}\,\texttt{MNP} \rightsquigarrow \texttt{N}\,(\texttt{P} \mid \lambda x.\texttt{M}\,(\lambda y.\texttt{brec}\,\texttt{MN}\,(\texttt{P} \cup \{x \mapsto y\})))$

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 ${\tt N}$ continuous \Rightarrow looks at only finitely many values of:

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- ▶ if P defined at all these values: same result as $\mathbb{N}(P \mid can_{\lambda \to \sigma})$
- ▶ if N needs value at Q : λ and p₁ (PQ) $\not\rightarrow^* z$, then call recursively brec MN (P \cup {Q \mapsto y}) where y is provided by M
- It terminates because N is continuous

• For each type σ define domain $\llbracket \sigma \rrbracket$:

$$\llbracket \iota \rrbracket \triangleq \mathbb{N}_{\perp} \qquad \llbracket \lambda \rrbracket \triangleq \Lambda_{\perp} \qquad \llbracket \lambda^{\diamond} \rrbracket \triangleq (\Lambda^{*})_{\perp} \qquad \llbracket \top \rrbracket \triangleq \{*\}_{\perp}$$
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where:

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$$E_{\perp}$$
 is $E \cup \{\perp\}$ with $\varphi \leq \psi$ iff $\varphi = \perp$ or $\varphi = \psi$

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 is ordered pointwise

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and computational adequacy:

$$\mathtt{M}:\iota\wedge\llbracket\mathtt{M}\rrbracket=\mathfrak{n}\Rightarrow\mathtt{M}\rightsquigarrow^*\mathbf{s}^n\mathtt{z}$$

and similarly on λ and λ^\diamond

A realizability model for our logic

Mapping logic to system ΛT_{br}

We map terms m, M, Π to programs m^* : ι , M^* : λ , Π^* : λ^\diamond

• variables *i*, *t*, π are variables of system ΛT_{br} of type ι , λ , λ^{\diamond}

• _* is such that
$$FV(_-^*) = FV(_-)$$

• $i^* = i$ $0^* = z$ $(Sm)^* = sm^*$
 $t^* = t$ $\underline{m}^* = var m^*$ $(\lambda.M)^* = abs M^*$
 $(M\Pi)^* = app^{\diamond} M^*\Pi^*$ $(M[m \mapsto \Pi])^* = M^*[m^* \mapsto \Pi^*]$
 $\pi^* = \pi$ $\langle \rangle^* = nil$ $\langle \Pi, M \rangle^* = cons \Pi^* M^*$
• No X*, b* because no ((X)), ((b)): X, Φ are not computational

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 $\pi^{*} = \pi$ $\langle \rangle^{*} = nil$ $\langle \Pi, M \rangle^{*} = cons \Pi^{*} M^{*}$

► No X^* , b^* because no (X), (b): X, Φ are not computational We map formulas A to types A^* of system ΛT_{br}

Closed formulas/terms with parameters: formulas/terms where free variables are replaced by real-world elements:

 $i \text{ are replaced with } \mathfrak{n} \in \mathbb{N} \qquad t \text{ with } \mathfrak{M} \in \Lambda \qquad \pi \text{ with } \mathbf{\Pi} \in \Lambda^*$

X with $\mathfrak{X} \in \mathcal{P}(\Lambda)$ b with $\mathfrak{b} \in {\mathfrak{t}}; \mathfrak{f}$

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• Since $\mathbb{N} \subseteq \mathbb{N}_{\perp}$, $\Lambda \subseteq \Lambda_{\perp}$ and $\Lambda^* \subseteq (\Lambda^*)_{\perp}$:

if m, M, Π closed terms with parameters then $\llbracket m^* \rrbracket \in \llbracket \iota \rrbracket, \llbracket M^* \rrbracket \in \llbracket \lambda \rrbracket, \llbracket \Pi^* \rrbracket \in \llbracket \lambda^\diamond \rrbracket$

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Closed formula A with parameters gets a realizability value:

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Closed formula A with parameters gets a realizability value:

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• The model is parameterized by a pole:

$$\mathbb{L}\subseteq\mathbb{N}$$

we extract natural numbers (bounds on reduction steps)

Realizability values: atomic predicates

If | = |tt| = ℕ⊥
|f| = |f| = ⊥
$$|M \in \mathfrak{X}| = \begin{cases} \mathbb{N}_{\bot} & \text{if } \llbracket M^* \rrbracket \in \mathfrak{X} \\ \bot & \text{if } \llbracket M^* \rrbracket \notin \mathfrak{X} \end{cases}$$
these atomic predicates are computationally relevant
~ their realizability values depend on ⊥

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Realizability values: atomic predicates

► these are computationally irrelevant ~→ their realizability values are independent from ⊥⊥

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b these are computationally irrelevant

 → their realizability values are independent from ⊥

 $|(m)| = \{[m^*]]\} |(M)| = \{[M^*]]\} |(\Pi)| = \{[\Pi^*]\}$

these are relativizations ~> only one realizer: the value of the enclosed term

Realizability values: connectives

►
$$|A \Rightarrow B| = \{\varphi \in \llbracket A^* \to B^* \rrbracket | \forall \psi \in |A|, \varphi(\psi) \in |B|\}$$

 $|A \land B| = \{(\varphi, \psi) \in \llbracket A^* \times B^* \rrbracket | \varphi \in |A| \land \psi \in |B|\}$
► standard definitions

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$$|\forall i A| = \bigcap_{\mathfrak{n} \in \mathbb{N}} |A[\mathfrak{n}/i]| \quad |\forall t A| = \bigcap_{\mathfrak{M} \in \Lambda} |A[\mathfrak{M}/t]|$$
$$|\forall \pi A| = \bigcap_{\Pi \in \Lambda^*} |A[\Pi/\pi]|$$
$$|\forall X A| = \bigcap_{\mathfrak{X} \in \mathcal{P}(\Lambda)} |A[\mathfrak{X}/X]| \quad |\forall b A| = \bigcap_{\mathfrak{b} \in \{\mathfrak{t};\mathfrak{f}\}} |A[\mathfrak{b}/b]|$$

quantified formulas are instantiated with real-world elements

double-negation elimination on A is $\neg \neg A \Rightarrow A$

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• unrealizable for computationally irrelevant formula $M\downarrow^m$:

• if
$$\mathbb{I} \neq \emptyset$$

- ▶ and if $\llbracket M^* \rrbracket$ doesn't normalize after $\llbracket m^* \rrbracket$ steps
- ▶ then $|\neg \neg M \downarrow^m| \neq \emptyset$ and $|M \downarrow^m| = \emptyset$, so $|\neg \neg M \downarrow^m \Rightarrow M \downarrow^m| = \emptyset$

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$$A^-, B^- ::= \Phi \mid A \Rightarrow B^- \mid A^- \land B^- \mid \forall_- A^-$$

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$$\begin{aligned} \left[\operatorname{dne}_{A^{-}} \right] &\in |\neg \neg A^{-} \Rightarrow A^{-}| \text{ where:} \\ \operatorname{dne}_{\Phi} &= \lambda x. x \left(\lambda y. y \right) \qquad \operatorname{dne}_{\forall \epsilon A^{-}} &= \operatorname{dne}_{A^{-}} \\ \operatorname{dne}_{A \Rightarrow B^{-}} &= \lambda xy. \operatorname{dne}_{B^{-}} \left(\lambda z. x \left(\lambda u. z \left(u \, y \right) \right) \right) \\ \operatorname{dne}_{A^{-} \wedge B^{-}} &= \lambda x. \left\langle \operatorname{dne}_{A^{-}} \left(\lambda y. x \left(\lambda z. y \left(p_{1} \, z \right) \right) \right), \operatorname{dne}_{B^{-}} \left(\lambda y. x \left(\lambda z. y \left(p_{2} \, z \right) \right) \right) \right\rangle \end{aligned}$$

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▶
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 where:
 $\operatorname{dne}_{\Phi} = \lambda x.x (\lambda y.y)$ $\operatorname{dne}_{\forall \epsilon A^-} = \operatorname{dne}_{A^-}$
 $\operatorname{dne}_{A \Rightarrow B^-} = \lambda xy.\operatorname{dne}_{B^-} (\lambda z.x (\lambda u.z (u y)))$
 $\operatorname{dne}_{A^- \land B^-} = \lambda x. \langle \operatorname{dne}_{A^-} (\lambda y.x (\lambda z.y (p_1 z))), \operatorname{dne}_{B^-} (\lambda y.x (\lambda z.y (p_2 z))) \rangle$
▶ $\llbracket \operatorname{dne}_{\Phi} \rrbracket \in |\neg \neg \Phi \Rightarrow \Phi|$ by disjunction of cases

Interpreting second-order elimination

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 - $\blacktriangleright \quad \forall^{r} t \left(B \left(t \right) \Leftrightarrow C \left(t \right) \right) \Rightarrow \left(A^{r} \left(B \right) \Leftrightarrow A^{r} \left(C \right) \right)$
 - A^r relativized:

$$\begin{array}{rcl} A^{r},B^{r} & ::= & P \mid A^{r} \Rightarrow B^{r} \mid A^{r} \land B^{r} \\ & \mid \forall^{r}iA^{r} \mid \forall^{r}tA^{r} \mid \forall^{r}\pi A^{r} \mid \forall X A^{r} \mid \forall b A^{r} \mid \end{array}$$

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realizer defined by induction on A

• finally, we will get $\forall X A^r(\overline{X}) \Rightarrow A^r(B^-)^-$

Two steps:

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$$\begin{bmatrix} \lambda xy. \operatorname{brec} \left(\lambda z. \operatorname{exf}_{A^{-}} (x \, z) \right) y \left\{ \right\} \end{bmatrix} \\ \in \left| \forall t \, \exists b \, A^{-} (b, t) \Rightarrow \exists X \, \forall^{r} t \, A^{-} (t \in X, t) \right|$$

quite technical, uses Zorn's lemma

Two steps:

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$$[\lambda xy.brec(\lambda z.exf_{A^-}(x z))y \{\}]$$

 $\in |\forall t \exists b A^-(b, t) \Rightarrow \exists X \forall^r t A^-(t \in X, t)|$

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$$[\![\lambda x.x \langle \mathsf{exf}_{\mathcal{A}^{-}}, \lambda y.x \langle \lambda_{-}.y, \lambda_{-}.z \rangle \rangle]\!] \in |\forall t \exists b (b \Leftrightarrow \mathcal{A}^{-} (t))|$$

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quite straightforward:

Let $\mathfrak{M} \in \Lambda$ and $\varphi \in |\forall b \neg (b \Leftrightarrow A^{-}(\mathfrak{M}))|$. We prove:

$$\llbracket \varphi \left\langle \texttt{exf}_{\mathcal{A}^{-}}, \lambda y.\varphi \left\langle \lambda_{-}.y, \lambda_{-}.z \right\rangle \right\rangle \rrbracket \in |\textit{f}|$$

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$$\llbracket \varphi \left\langle \texttt{exf}_{\mathcal{A}^{-}}, \lambda y.\varphi \left\langle \lambda_{-}.y, \lambda_{-}.z \right\rangle \right\rangle \rrbracket \in |\textit{f}|$$

 $\varphi \in |\neg (\mathit{f\!f} \Leftrightarrow \mathit{A^{-}}(\mathfrak{M}))|$ so we need to prove:

 $\llbracket \mathtt{exf}_{A^{-}} \rrbracket \in \left| \mathtt{f\!f} \Rightarrow A^{-}\left(\mathfrak{M}\right) \right| \text{ and } \llbracket \lambda y.\varphi \left\langle \lambda_{-}.y, \lambda_{-}.\mathbf{z} \right\rangle \rrbracket \in \left| A^{-}\left(\mathfrak{M}\right) \Rightarrow \mathtt{f\!f} \right|$

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$$[\![\varphi \left< \texttt{exf}_{\mathcal{A}^{-}}, \lambda y.\varphi \left< \lambda_{-}.y, \lambda_{-}.z \right> \right>]\!] \in |\textit{f}|$$

 $arphi\in\left|
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Let $\psi \in |A^{-}(\mathfrak{M})|$, $\varphi \in |\neg (tt \Leftrightarrow A^{-}(\mathfrak{M}))|$ so we prove:

 $\llbracket \lambda_{-}.\psi \rrbracket \in \left| tt \Rightarrow A^{-}\left(\mathfrak{M}\right) \right| \text{ and } \llbracket \lambda_{-}.z \rrbracket \in \left| A^{-}\left(\mathfrak{M}\right) \Rightarrow tt \right|$

A weak form of bar recursion

Our bar recursion:

$$\begin{array}{l} \texttt{brec}: ((\sigma \to \iota) \to \sigma) \to ((\lambda \to \sigma) \to \iota) \to \sigma^{\dagger} \to \iota \\\\ \texttt{brec}\,\texttt{MNP} \rightsquigarrow \texttt{N}\,(\texttt{P} \mid \lambda x.\texttt{M}\,(\lambda y.\texttt{brec}\,\texttt{MN}\,(\texttt{P} \cup \{x \mapsto y\}))) \\\\ \texttt{realizes}\,\,\forall t \,\exists b \, A^-\,(b,t) \Rightarrow \exists X \,\forall^r t \, A^-\,(t \in X,t) \end{array}$$

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Usual bar recursion:

$$\begin{array}{l} \texttt{brec}': (\lambda \to (\sigma \to \iota) \to \sigma) \to ((\lambda \to \sigma) \to \iota) \to \sigma^{\dagger} \to \iota \\ \texttt{brec}' \,\texttt{MNP} \rightsquigarrow \texttt{N} \left(\texttt{P} \mid \lambda \texttt{x}.\texttt{M} \texttt{x} \left(\lambda \texttt{y}.\texttt{brec}' \,\texttt{MN} \left(\texttt{P} \cup \{\texttt{x} \mapsto \texttt{y}\}\right)\right)\right) \\ \texttt{realizes} \, \forall^{r} t \, \exists b \, A^{-} \, (b, t) \Rightarrow \exists \texttt{X} \, \forall^{r} t \, A^{-} \, (t \in \texttt{X}, t) \\ \texttt{stronger} \end{array}$$

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Countable choice stronger than comprehension?

 $\forall^{r} t \left(B \left(t \right) \Leftrightarrow C \left(t \right) \right) \Rightarrow \left(A^{r} \left(B \right) \Leftrightarrow A^{r} \left(C \right) \right)$

If $\vec{\mathfrak{n}} \in \vec{\mathbb{N}}$, $\vec{\mathfrak{M}} \in \vec{\Lambda}$, $\vec{\Pi} \in \vec{\Lambda^*}$ then:

$$\begin{bmatrix} \left[\operatorname{repl}_{A^{r}} \left[\vec{\mathfrak{n}} / \vec{t}, \vec{\mathfrak{M}} / \vec{t}, \vec{\Pi} / \vec{\pi} \right] \right] \end{bmatrix}$$

$$\in \left| \left(\forall^{r} t \left(B \left(t \right) \Leftrightarrow C \left(t \right) \right) \Rightarrow \left(A^{r} \left(B \right) \Leftrightarrow A^{r} \left(C \right) \right) \right) \left[\vec{\mathfrak{n}} / \vec{t}, \vec{\mathfrak{M}} / \vec{t}, \vec{\Pi} / \vec{\pi} \right] \right|$$

 $\forall^{r} t \left(B \left(t \right) \Leftrightarrow C \left(t \right) \right) \Rightarrow \left(A^{r} \left(B \right) \Leftrightarrow A^{r} \left(C \right) \right)$

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$$\begin{bmatrix} [\operatorname{repl}_{A^r} \left[\vec{\mathfrak{n}}/\vec{i}, \vec{\mathfrak{M}}/\vec{t}, \vec{\Pi}/\vec{\pi} \right] \end{bmatrix} \\ \in \left| (\forall^r t \left(B \left(t \right) \Leftrightarrow C \left(t \right) \right) \Rightarrow \left(A^r \left(B \right) \Leftrightarrow A^r \left(C \right) \right) \right) \left[\vec{\mathfrak{n}}/\vec{i}, \vec{\mathfrak{M}}/\vec{t}, \vec{\Pi}/\vec{\pi} \right] \right| \\ \text{where } \operatorname{repl}_{A^r} \stackrel{\Delta}{=} \lambda x.\operatorname{repl}_{A^r} \text{ and:} \\ \operatorname{repl}_{\overline{X} \mapsto M \in X} = x M^* \quad \operatorname{repl}_{\overline{X} \mapsto P} = \langle \lambda y. y, \lambda y. y \rangle \text{ if } P \neq M \in X \\ \operatorname{repl}_{A_1^r \Rightarrow A_2^r} = \left\langle \lambda y. \operatorname{repl}_{A_1^r} \operatorname{repl}_{A_2^r} \left(y \left(p_2 \operatorname{repl}_{A_1^r} z \right) \right), \lambda yz. p_2 \operatorname{repl}_{A_2^r} \left(y \left(p_1 \operatorname{repl}_{A_1^r} z \right) \right) \right\rangle \\ \operatorname{repl}_{A_1^r \wedge A_2^r} = \left\langle \lambda y. \left\langle p_1 \operatorname{repl}_{A_1^r} \left(p_1 y \right), p_1 \operatorname{repl}_{A_2^r} \left(p_2 y \right) \right\rangle, \lambda y. \left\langle p_2 \operatorname{repl}_{A_1^r} \left(p_1 y \right), p_2 \operatorname{repl}_{A_2^r} \left(p_2 y \right) \right\rangle \right) \\ \end{bmatrix}$$

 $\texttt{repl}_{\forall r \eta \, \textit{A} r}' = \left\langle \lambda y \eta. \texttt{p}_1 \, \texttt{repl}_{\textit{A} r}' \left(y \, \eta \right), \lambda y \eta. \texttt{p}_2 \, \texttt{repl}_{\textit{A} r}' \left(y \, \eta \right) \right\rangle \qquad \texttt{repl}_{\forall \textit{X} \, \textit{A} r}' = \texttt{repl}_{\forall \textit{b} \, \textit{A} r}' = \texttt{repl}_{\textit{A} r}'$

Second-order elimination

$$\begin{aligned} \text{elim}_{A^{r},B^{-}} &\triangleq \\ \lambda x.\text{dne}_{A^{r}(B^{-})^{-}} \begin{pmatrix} \lambda y.\text{brec} \left(\lambda z.\text{exf}_{B^{-}}\left(z\left\langle \text{exf}_{B^{-}},\lambda u.z\left\langle \lambda_{-}.u,\lambda_{-}.z\right\rangle \right\rangle\right)\right) \\ \left(\lambda z.y\left(\text{p}_{1}\left(\text{repl}_{A^{r}}z\right)x\right)\right) \\ &\{\} \end{aligned}$$

Second-order elimination

$$\begin{aligned} \texttt{elim}_{A^{r},B^{-}} &\triangleq \\ \lambda x.\texttt{dne}_{A^{r}(B^{-})^{-}} \begin{pmatrix} \lambda y.\texttt{brec} \left(\lambda z.\texttt{exf}_{B^{-}} \left(z \left(\texttt{exf}_{B^{-}}, \lambda u.z \left(\lambda_{-}.u, \lambda_{-}.z \right) \right) \right) \right) \\ \left(\lambda z.y \left(\texttt{p}_{1} \left(\texttt{repl}_{A^{r}} z \right) x \right) \right) \\ &\{ \} \end{pmatrix} \end{aligned}$$

$$extsf{elim}_{A^{r},B^{-}}\in\left|orall X\,A^{r}\left(\overline{X}
ight)\Rightarrow A^{r}\left(B^{-}
ight)^{-}
ight|$$

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$$\texttt{elim}_{A^{r},B^{-}} \in \left| \forall X A^{r} \left(\overline{X} \right) \Rightarrow A^{r} \left(B^{-} \right)^{-} \right|$$
Believe me!

The realizability interpretation of normalization of M : T

Three steps:

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• $\mathcal{R}edCand(\Downarrow)$ where $\Downarrow(t) \stackrel{\Delta}{=} t\downarrow$

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- $\mathcal{R}ed\mathcal{C}and(\Downarrow)$ where $\Downarrow(t) \stackrel{\Delta}{=} t\downarrow$
- If T type of F with $FV(T) = \{X_0, \ldots, X_{n-1}\}$ then:

$$\forall X_0(\mathcal{R}ed\mathcal{C}and\ (\overline{X_0}) \Rightarrow \ldots \Rightarrow \forall X_{n-1}(\mathcal{R}ed\mathcal{C}and\ (\overline{X_{n-1}}) \\ \Rightarrow \mathcal{R}ed\mathcal{C}and\ (\mathcal{R}C_T))\ldots)$$

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▶ If $FV(T_0, \ldots, T_{m-1}, U) \subseteq \{X_0, \ldots, X_{n-1}\}$ and $T_{m-1}, \ldots, T_0 \vdash M : U$ typing derivation in F then:

$$\forall X_0(\mathcal{R}ed\mathcal{C}and\ (\overline{X_0}) \Rightarrow \ldots \Rightarrow \forall X_{n-1}(\mathcal{R}ed\mathcal{C}and\ (\overline{X_{n-1}})) \\ \Rightarrow \forall^r t_{m-1}(\mathcal{R}\mathcal{C}_{T_{m-1}}\ (t_{m-1}) \Rightarrow \ldots \Rightarrow \forall^r t_0(\mathcal{R}\mathcal{C}_{T_0}\ (t_0)) \\ \Rightarrow \mathcal{R}\mathcal{C}_U\ (M\ [0 \mapsto \langle t_0, \ldots, t_{m-1}\rangle]))\ldots)) \ldots)$$

$\mathcal{R}ed\mathcal{C}and\left(\Downarrow\right)$

$$\begin{split} \texttt{normrc} &= \langle \langle \lambda \pi x. x \, \texttt{z} \ast, \lambda t x. x \rangle, \lambda t u \pi x y. x \, (\lambda i. y \, (\texttt{s} \, i)) \rangle \\ & \texttt{[normrc]} \in |\mathcal{R}ed\mathcal{C}\textit{and} \, (\Downarrow)| \end{split}$$

$\mathcal{R}ed\mathcal{C}and(\mathcal{R}\mathcal{C}_{\mathcal{T}})$

For T type of system F built from variables X of the logic we define:

$$\mathtt{isrc}_{\mathcal{T}} = \left\langle \left\langle \mathtt{isrc}_{\mathcal{T}}^{(1)}, \mathtt{isrc}_{\mathcal{T}}^{(2)} \right\rangle, \mathtt{isrc}_{\mathcal{T}}^{(3)} \right\rangle$$

such that $FV(isrc_T) = \{x_X \mid X \in FV(T)\}$

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$$\begin{split} & \operatorname{isrc}_{X}^{(1)} = p_1(p_1 \times \chi) \quad \operatorname{isrc}_{X}^{(2)} = p_2(p_1 \times \chi) \quad \operatorname{isrc}_{X}^{(3)} = p_3 \times \chi \quad \operatorname{isrc}_{T \to U}^{(1)} = \lambda \pi t \times \operatorname{isrc}_{U}^{(1)}(\operatorname{cons} \pi t) \\ & \operatorname{isrc}_{T \to U}^{(2)} = \lambda t \times \operatorname{isrc}_{U}^{(2)}(\operatorname{app} t (\operatorname{var} z)) \left(\times (\operatorname{var} z) \left(\operatorname{isrc}_{T}^{(1)} \operatorname{ni} 1 \right) \right) \quad \operatorname{isrc}_{T \to U}^{(3)} = \lambda t \pi x y \operatorname{visrc}_{U}^{(3)} t u (\operatorname{cons} \pi v) (x \lor y) \\ & \operatorname{isrc}_{YX}^{(1)} = \lambda \pi x_X \operatorname{.isrc}_{T}^{(1)} \pi \quad \operatorname{isrc}_{YX}^{(3)} = \lambda t u \pi y y \operatorname{visrc}_{T}^{(3)}(y \times \chi) \\ & \operatorname{isrc}_{YX}^{(2)} = \lambda t \times \operatorname{elim}_{\overline{X} \mapsto \operatorname{RedCand}(\overline{X}) \Rightarrow \psi^* t (\operatorname{RC}_{T}(t) \Rightarrow \emptyset), \Downarrow \left(\lambda x_X \operatorname{isrc}_{T}^{(2)} \right) \operatorname{normc} t \left(\operatorname{elim}_{\overline{X} \to \operatorname{RedCand}(\overline{X}) \Rightarrow \operatorname{RC}_{T}(t), \Downarrow \operatorname{Normc}_{T} t \right) \end{split}$$

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If $\vec{\mathfrak{X}} \in \mathcal{P}(\Lambda)$ and $\vec{\varphi} \in |\mathcal{R}ed\mathcal{C}and(\overline{\mathfrak{X}})|$ then: $\llbracket isrc_{\mathcal{T}}[\vec{\varphi}/\vec{x_{\mathcal{X}}}] \rrbracket \in |\mathcal{R}ed\mathcal{C}and(\mathcal{R}C_{\mathcal{T}})[\vec{\mathfrak{X}}/\vec{\mathcal{X}}]|$ $\begin{aligned} & RC_T \left(M \left[0 \mapsto \langle t_0, \dots, t_{m-1} \rangle \right] \right) \\ & \text{If } \underbrace{\vdots}_{\Gamma \vdash M : T} \text{ is a valid typing derivation in system F, define:} \end{aligned}$

$adeq_{\Gamma \vdash M:T}$

such that
$$FV(adeq_{\Gamma \vdash M:T}) = \{x_X \mid X \in FV(\Gamma, T)\}$$

 $\cup \{t_U \mid U \in \Gamma\} \cup \{y_U \mid U \in \Gamma\}$

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such that FV (adeq_{\Gamma \vdash M:T}) = {x_X | X \in FV(\Gamma, T)} $\cup \{t_U | U \in \Gamma\} \cup \{y_U | U \in \Gamma\}$ $adeq_{\Gamma \vdash \underline{m}: U} = y_U \quad adeq_{\Gamma \vdash \lambda.M: U \to T} = \lambda t_U y_U.isrc_T^{(3)}(M^* [s z \mapsto \uparrow t_{\Gamma}]) t_U \text{ nil } adeq_{\Gamma, U \vdash M:T}$ $adeq_{\Gamma \vdash M: Y} = adeq_{\Gamma \vdash M: U \to T}(N^* [z \mapsto t_{\Gamma}]) adeq_{\Gamma \vdash N: U} \quad adeq_{\Gamma \vdash M: \forall X T} = \lambda x_X.adeq_{\Gamma \vdash M:T}$

 $\mathrm{adeq}_{\Gamma \vdash M: \mathcal{T}\{U/X\}} = \mathrm{elim}_{\overline{X} \mapsto \mathcal{R}ed\mathcal{C}and(\overline{X}) \Rightarrow \mathcal{R}C_{\mathcal{T}}(M[0 \mapsto t_{\Gamma}]), \mathcal{R}C_{U}} \, \mathrm{adeq}_{\Gamma \vdash M: \forall X \; \mathcal{T}} \; \mathrm{isrc}_{U}$

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 $\begin{aligned} &\operatorname{adeq}_{\Gamma\vdash M:T} = \operatorname{adeq}_{\Gamma\vdash M:U \to T} \left(N^* \left[z \mapsto t_{\Gamma} \right] \right) \operatorname{adeq}_{\Gamma\vdash N:U} \qquad \operatorname{adeq}_{\Gamma\vdash M:\forall X T} = \lambda_{XX}. \operatorname{adeq}_{\Gamma\vdash M:T} \\ &\operatorname{adeq}_{\Gamma\vdash M:T \{U/X\}} = \operatorname{elim}_{\overline{X} \mapsto \mathcal{R}edCand}(\overline{X}) \Rightarrow RC_{T}(M[0 \to t_{\Gamma}]), RC_{U} \operatorname{adeq}_{\Gamma\vdash M:\forall X T} \operatorname{isrc}_{U} \end{aligned}$

If
$$\vec{\mathfrak{X}} \in \mathcal{P}(\Lambda)$$
, $\vec{\varphi} \in |\mathcal{R}ed\mathcal{C}and(\overline{\mathfrak{X}})|$, $\mathfrak{M}_U \in \Lambda$ and
 $\psi_U \in \left| \mathcal{R}C_U(\mathfrak{M}_U) \left[\vec{\mathfrak{X}} / \vec{X} \right] \right|$ for $U \in \Gamma$, then:
 $\left[\left[\operatorname{adeq}_{\Gamma \vdash M:T} \left[\vec{\mathfrak{M}}_U / \vec{t_U}, \vec{\psi_U} / \vec{y_U} \right] \right] \right] \in \left| \mathcal{R}C_T \left(M \left[0 \mapsto \vec{\mathfrak{M}}_U \right] \right) \left[\vec{\mathfrak{X}} / \mathcal{A} \right] \right]$

The translation of M : T

In particular if M closed term of closed type T, then:

 $\llbracket \texttt{adeq}_{\vdash \mathcal{M}: \mathcal{T}} \rrbracket \in |\mathcal{RC}_{\mathcal{T}}\left(\mathcal{M}\right)| \text{ and } \left[\left[\texttt{isrc}_{\mathcal{T}}^{(2)}\right] \right] \in |\forall^{r} t \left(\mathcal{RC}_{\mathcal{T}}\left(t\right) \Rightarrow t \downarrow \right)|$

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$$\left[\left\lfloor \mathtt{isrc}_{\mathcal{T}}^{(2)} \mathit{M}^{*} \, \mathtt{adeq}_{\vdash \mathcal{M}: \mathcal{T}}
ight
floor
ight] \in \left| \mathit{M} \downarrow
ight|$$

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ight]
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ight|$$

recall that $M \downarrow \equiv \neg \forall^r i \neg M \downarrow^i$. Fix now:

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By case disjunction, $\llbracket \lambda x_{-} x \rrbracket \in |\forall^r i \neg M \downarrow^i|$ and therefore:

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$$\left[\left[\mathtt{isrc}_{\mathcal{T}}^{(2)} \mathit{M}^{*} \mathtt{adeq}_{\vdash \mathcal{M}: \mathcal{T}} \left(\lambda x_{-} . x
ight)
ight]
ight] \in \left|\mathit{f\!f}
ight| = \bot$$

so by computational adequacy:

$$\operatorname{isrc}_{\mathcal{T}}^{(2)} M^* \operatorname{adeg}_{\vdash M:\mathcal{T}} (\lambda x_{-}.x) \rightsquigarrow^* \operatorname{s}^{\mathfrak{n}} z$$

where n is such that M normalizes in at most n steps

Computing the normal form

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We can easily define a term red : $\lambda \rightarrow \lambda$ in system ΛT_{br} such that:

• if
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 then red $M^* \rightsquigarrow^* N^*$

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$$ext{it}_{\iota} \operatorname{\mathsf{M}}^* ext{red} \left(ext{isrc}_{\mathcal{T}}^{(2)} \operatorname{\mathsf{M}}^* ext{adeq}_{dash M: \mathcal{T}} \left(\lambda x_{dash.} x
ight)
ight) \leadsto^* \operatorname{\mathsf{N}}^*$$

where N is the weak head normal form of M

 Translation of system F into a simply-typed total programming language

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- No more impredicativity?

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Many possible improvements:

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- Extract directly the normal form rather than a bound
 - ▶ Normal form of *M* from normal form of *M* <u>0</u>
 - Head reduction rather than weak head reduction

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 - Realizers much more complicated
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Implementation of the translation

$$\llbracket \lambda xy. ext{brec} \left(\lambda z. ext{exf}_{A^-} \left(x z
ight)
ight) y \left\{
ight\}
ight] \ \in \left| orall t \exists b \ A^- \left(b, t
ight) \Rightarrow \exists X \ orall r \ A^- \left(t \in X, t
ight)
ight|$$

$$\llbracket \lambda xy. \texttt{brec} \left(\lambda z. \texttt{exf}_{A^-} \left(x z
ight)
ight) y \left\{
ight\}
ight
ceil \ \in \left| orall t \exists b \, A^- \left(b, t
ight) \Rightarrow \exists X \, orall ^r t \, A^- \left(t \in X, t
ight)
ight|$$

Let $\varphi \in |\forall t \exists b A^{-}(b, t)|$ and $\psi \in |\forall X \neg \forall^{r} t A^{-}(t \in X, t)|$, and write $\theta \triangleq [[\texttt{brec}(\lambda z.\texttt{exf}_{A^{-}}(\varphi z))\psi]].$

$$\llbracket \lambda xy. \texttt{brec} \left(\lambda z. \texttt{exf}_{A^-} \left(x z
ight)
ight) y \left\{
ight\}
ight
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$$\begin{split} \llbracket \lambda xy. \texttt{brec} \left(\lambda z. \texttt{exf}_{\mathcal{A}^-} \left(x \, z \right) \right) y \left\{ \} \rrbracket \\ & \in \left| \forall t \, \exists b \, \mathcal{A}^- \left(b, t \right) \Rightarrow \exists X \, \forall^r t \, \mathcal{A}^- \left(t \in X, t \right) \right| \end{split}$$

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► $\pi_2(\xi(\mathfrak{M})) \in |A^-(\mathfrak{t},\mathfrak{M})| \cup |A^-(\mathfrak{f},\mathfrak{M})|$ if $\pi_1(\xi(\mathfrak{M})) = 0$

•
$$\xi(\mathfrak{M}) = (1, \llbracket \operatorname{can}_{A^{-*}} \rrbracket)$$
 otherwise

•
$$\xi(\perp) = \perp$$

$$\blacktriangleright \theta(\xi) \notin |f\!\!f|$$

$$\begin{split} \llbracket \lambda xy. \texttt{brec} \left(\lambda z. \texttt{exf}_{\mathcal{A}^-} \left(x \, z \right) \right) y \left\{ \} \rrbracket \\ & \in \left| \forall t \, \exists b \, \mathcal{A}^- \left(b, t \right) \Rightarrow \exists X \, \forall^r t \, \mathcal{A}^- \left(t \in X, t \right) \right| \end{split}$$

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 otherwise

- $\xi(\perp) = \perp$
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and let \prec be the following partial order on *E*:

$$\xi \prec \xi' \quad \Longleftrightarrow \quad (\pi_1 \left(\xi \left(\mathfrak{M} \right) \right) = 0 \Rightarrow \xi' \left(\mathfrak{M} \right) = \xi \left(\mathfrak{M} \right))$$
$$\llbracket \theta \; \{\} \rrbracket \in |ff| \iff \llbracket \{\} \rrbracket \notin E$$

Adequacy of bar recursion: Zorn's lemma

Theorem (Zorn's lemma on (E, \prec))

if every chain (totally ordered subset) of E has an upper bound in E, then E has a maximal element

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We prove two things:

- Every non-empty chain has an upper bound
- E has no maximal element

Adequacy of bar recursion: Zorn's lemma

Theorem (Zorn's lemma on (E, \prec))

if every chain (totally ordered subset) of E has an upper bound in E, then E has a maximal element

We prove two things:

- Every non-empty chain has an upper bound
- E has no maximal element

Therefore the empty chain has no upper bound, i.e. $E = \emptyset$. In particular $[\![\{\}]\!] \notin E$, we are done.

► C non-empty chain

С

• C non-empty chain
•
$$\xi_{max}(\mathfrak{M}) = \begin{cases} \xi(\mathfrak{M}) & \text{if } \pi_1(\xi(\mathfrak{M})) = 0 \text{ for some } \xi \in \\ (1, [[\operatorname{can}_{A^{-*}}]]) & \text{otherwise} \end{cases}$$

 $\xi_{max}(\bot) = \bot$

C non-empty chain

►
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 $\xi_{max}(\bot) = \bot$

- $\xi_{max} \in E$: we want to prove $\theta(\xi_{max}) \notin |ff|$.
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• Since *C* is a non-empty chain there exists $\xi \in C$ such that $\forall \mathfrak{M} \in F(\xi(\mathfrak{M}) = \xi_{max}(\mathfrak{M}))$ and therefore $\theta(\xi) = \theta(\xi_{max})$. $\xi \in E$ so $\theta(\xi) \notin |\mathfrak{f}|$ and $\theta(\xi_{max}) = \theta(\xi) \notin |\mathfrak{f}|$, contradiction.

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Therefore $\xi_{max} \in E$ is an upper bound for *C*

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• $\llbracket \xi \cup \{\mathfrak{M} \mapsto \zeta\} \rrbracket \in E$ and $\xi \prec \llbracket \xi \cup \{\mathfrak{M} \mapsto \zeta\} \rrbracket$, contradiction